

Form purpose

In order to comply with the reporting provisions commonly known as the Foreign Account Tax Compliance Act (FATCA), Financial Institutions are required to identify and report to the Internal Revenue Service (IRS) an account holder that is a United States (US) citizen or resident for tax purposes.

For advice on taxation matters please contact an accredited **and qualified** tax professional.

☒ Tick where applicable. Use black or blue pen, and write in English using BLOCK LETTERS

Section 1 - Personal details

1.1 Full name including title

1.2 Date of birth

/	/	DD / MM / YYYY
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1.3 Country of birth

1.4 Permanent residential address PO Box, 'Care of' or 'hold mail' not allowed

Unit/Street Number	Street Name/Type	
	Postcode/Zip	

1.5 Mailing address if different from the above

Unit/Street Number	Street Name/Type	
	Postcode/Zip	

Section 2 - Declaration of US citizen or resident for tax purposes

2.1 Are you a US citizen or resident for tax purposes?

☐ Yes ☐ No ▶ Go to Section 3

Supply your US Tax Identification Number (TIN)

The US Tax Identification Number (TIN) is a social security number (SSN) issued by the US Social Security Administration. US resident aliens that are not eligible to obtain a SSN must supply their

Individual Tax Identification Number (ITIN). The ITIN is issued by the Internal Revenue Service.

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What if I do not have a US TIN yet?

If you have applied for a US TIN but have not yet received it, then wait for your US TIN to be issued before returning this form. Do not return this form without your US TIN.

▶ Go to Section 4

Section 3 - Not a US citizen or resident of the US for tax purposes

3.1 Were you born in the US?

☐ Yes ▶ Provide supporting documentation in Section 3.2
☐ No ▶ Provide supporting documentation in Section 3.3

3.2 Born in the US

Tick which documents you are providing and attach the copy of the documentation to the back of this form

You'll need to provide ONE of the following:

- ☐ A copy of Certificate of Loss of Nationality of the United States
- ☐ A reasonable explanation* for not holding a Certificate of Loss of Nationality
- ☐ A reasonable explanation* for not obtaining US Citizenship at birth

AND provide ONE of the following:

- ☐ A copy of a Passport or Travel Document issued by a Non US government, the United Nations or an agency of the United Nations
- ☐ A copy of a Citizen Certificate issued by a Non US government

*What is a 'reasonable explanation'?

Provide a written explanation that is dated and signed on a separate sheet of paper, then attach and return with the form. You should seek advice from a tax professional if you are unsure about whether or not you are a US citizen or resident for US tax purposes.

▶ Go to Section 4

3.3 Born outside of the US

Tick which documents you are providing and attach the copy of the documentation to the back of this form

You'll need to provide ONE of the following:

- ☐ A copy of a Passport, Birth Certificate or Travel Document issued by a Non US government, the United Nations or an agency of the United Nations
- ☐ A copy of a Citizenship Certificate issued by a Non US government

▶ Go to Section 4

Section 4 Declaration of citizen or resident for tax purposes outside the US

4.1 Are you a resident in other countries for tax purposes?

☐ Yes ▶ Go to Section 4.2
☐ No ▶ Go to Section 5 to finalise the form

▶ Please turn page

4.2 Please supply the country name and Tax Identification Number (TIN) for each country of tax residence

Country 1

Country name

Tax Identification Number (TIN)

☐ Tick if a TIN is not issued in this country

Country 2

Country name

Tax Identification Number (TIN)

☐ Tick if a TIN is not issued in this country

What if I need to list more than 2 countries of tax residence in this section?

Please provide details on a separate sheet of paper that is dated and signed, then attach and return with the form.

► Go to Section 5 to finalise the form

Section 5 - Declaration

- 5.1 Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and my belief it is true, correct, and complete. I further declare under penalties of perjury that:

Tick the declaration that applies to you

- ☐ Tick if you have selected NO in Section 2.1 of this form
- I, the person named in Section 1 am not a US citizen or resident for tax purposes, AND
 - I do not derive any income that is subject to tax in the US, AND
 - I acknowledge that if I do, I will need to complete and return an IRS W8-BEN form.

OR


- ☐ Tick if you have selected YES in Section 2.1 of this form;
- The number shown on this form is my correct taxpayer identification number, AND
 - I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

Furthermore, I declare the following:

- If my circumstances change causing the information provided on this form to become incorrect, I will provide the updated information within 30 days of the change of circumstance.
- I understand that I may be required to complete this form again if I am requested to renew FATCA supporting documentation.

What is 'Penalties of Perjury'?

Signing a declaration under Penalty of Perjury means that it is possible for you as an individual, to incur criminal liability in the US in the event that false or fraudulent information has been provided. For more information about the Penalty of Perjury or to obtain a W8-BEN form refer to the Internal Revenue Service website.

-  Please remember, Until the form has been completed and returned your account information may be reported to the Internal Revenue Service. If you are not required to pay tax in the US then the reporting of account information will not create a tax obligation for you.

Signature of individual OR parent/guardian for individual

X	DATE	DD / MM / YYYY
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Print full name if acting as parent/guardian for the individual

Contact number

FOR BANK USE ONLY

Reference Number

Privacy statement

Personal information

We collect personal information from you to process your application, provide you with your product or service, and manage your product or service. We may also use your information to comply with legislative or regulatory requirements in any jurisdiction, prevent fraud, crime or other activity that may cause harm in relation to our products or services and help us run our business. We may also use your information to tell you about products or services we think may interest you.

We may disclose your personal information to other members of the Bank South Pacific Group, anyone we engage to do something on our behalf, rewards program administrators and other organisations that assist us with our business.

We may disclose your personal information to an entity which is located outside your Relevant Jurisdiction. Details of the countries where the overseas recipients are likely to be located are in our privacy policy.

As a provider of financial services, we have obligations to disclose some personal information to government agencies and regulators in your Relevant Jurisdiction, and in some cases offshore. We are not able to ensure that foreign government agencies or regulators will comply with the privacy laws of your Relevant Jurisdiction, although they may have their own privacy laws. By using our products or services, you consent to these disclosures.

We are required or authorised to collect personal information from you by certain laws. Details of these laws are in our privacy policy.

- How you can access the personal information we hold about you and ask for it to be corrected;
- How you may complain about a breach and how we will deal with your complaint;
- How we collect, hold, use and disclose your personal information in more detail. We will update our privacy policy from time to time.

Definitions

"We", "our", "us" means Bank South Pacific,

BSP branch locations in Vanuatu, Cook Islands and Solomon Islands, BSP Bank of Tonga or BSP Bank Samoa Limited (as appropriate).

"BSP" means Bank of South Pacific Limited and any subsidiary in which the Bank of South Pacific Limited holds more than one half of the issued share capital.

"Relevant Jurisdiction" means (as appropriate)

- Republic of Vanuatu;
- Cook Islands;
- Solomon Islands;
- Kingdom of Tonga; and/or
- Independent State of Samoa.